Otoplasty is defined as surgical correction of external auricular deformities. Correction of the prominent ear, or Prominauris, the most common auricular deformity with an estimated incidence of 0.5% to 15% in new-borns, and is the focus of this chapter. Children and adults with auricular deformities may suffer significant social and psychological trauma. Dramatic psychosocial improvements after otoplasty are well-documented.1,2

Anatomy

Surgical correction of the prominent ear requires a thorough understanding of the complex anatomy of the auricle. The external ear is composed of fibroelastic cartilage covered by perichondrium. The skin is adherent to the perichondrium anteriorly. Posteriorly the skin is less adherent due to a loose layer of areolar connective tissue above the perichondrium. The lobule does not contain cartilage and is composed of thicker skin and connective tissue.

The anatomic elements of the ear are the root of the helix, helix, antihelix, superior (posterior) crus of antihelix, inferior (anterior) crus of antihelix, tragus, antitragus, triangular fossa, scaphoid fossa, concha cymba, concha cavum, and lobule (Figure 1).

The extrinsic muscles of the auricle are the anterior, superior, and posterior auricular muscles. The auricle is supplied by branches of the external carotid artery, including the superficial temporal and postauricular arteries (Figure 2).

The auricle is innervated by the great auricular nerve, the auriculotemporal nerve (V3), the lesser occipital nerve, and the greater branch of the vagus nerve (Arnold’s nerve) (Figure 3).
The vertical height of the ear is 5-6cm and should approximately match the distance between the orbital rim and the helical root. The width is approximately 55% of the vertical length. The vertical axis of the ear is inclined 15-20° posteriorly (Figure 4).

![Figure 4: The vertical height of the ear is 5-6cm. The width is approximately 55% of the vertical length. The vertical axis of the ear is inclined 15-20° posteriorly](image)

The superior-most point of the ear should be at the same level as the lateral eyebrow, and the inferior part of the lobule should be level with the subnasale (Figure 5).

![Figure 5: The superior most point of the ear should be at the same level as the lateral eyebrow, and the inferior part of the lobule should be level with the subnasale](image)

The auriculocephalic angle, defined as the protrusion of the auricle off of the scalp, should range between 25-35° (Figure 6).

![Figure 6: The auriculocephalic angle, defined as the protrusion of the auricle off of the scalp, should range between 25-35°](image)

To assess auricular protrusion, measurements are made at the most superior aspect of the rim, the most lateral projection point in the mid-auricle, and at a point at the level of the inferior helical rim. The average measurements for these points range from 10-12mm superiorly, 16-18mm at the middle point, and 20-22mm at the most inferior point.
**Goals of surgery**

The primary goal of otoplasty is achievement of a natural, symmetric, and aesthetic auricle. The specific surgical goals of otoplasty are summarised by Litner *et al*.

- Correction of precise anatomic defects
- Alignment of the superior and inferior poles with the concha
- Establishment of appropriate auriculo-cephalic angles
- Preserving the position of the helical rim lateral to the antihelix
- Maintaining the postauricular sulcus
- Maintaining interaural symmetry within 3 mm
- Ensuring that surfaces are smooth and without visible scars

**Timing of Otoplasty**

The majority of surgeons prefer to wait until patients are at least 5 years of age, as the auricle is then 90-95% of adult size. Performing otoplasty on young children has the important advantage of minimising the social implications of the deformity. Additionally, the cartilage in children is more pliable, and ear deformities may be corrected more easily by cartilage-sparing methods.

**Evaluation**

A thorough preoperative evaluation includes examination of ear symmetry, size, shape, and projection. Evaluation also includes documentation of specific anatomic abnormalities. The two most common auricular defects are under-development of the antihelix and increased projection of the conchal bowl. These defects may occur separately or simultaneously (Figure 7).

**Anaesthesia**

The authors prefer doing the surgery under local anaesthesia for adolescents and adults using 1% lidocaine with 1:100,000 epinephrine. General anaesthesia is commonly required for children.

**Surgical Technique**

Hundreds of techniques have been described for correction of prominent ears. They can be classified into 2 broad categories *i.e.* cartilage-cutting and cartilage-sparing operations.

*Cartilage-cutting techniques* include incisions, excisions, scoring, and/or abrasion of cartilage. The major advantage of cutting techniques is long-term stability of results. Disadvantages include disruption of cartilaginous support and creation of contour irregularities.

*Cartilage-sparing methods* were developed to decrease the incidence of contour irregularities and to maintain the structural support of the cartilage; however, longevity of results may be decreased when compared to cutting techniques.

Modern otoplasty favours a graduated approach by combining suture techniques, and, when appropriate, adding cartilage-
cutting methods in a stepwise fashion until the desired correction is achieved.4,5

Surgical Steps

The authors most commonly use a combination of Mustarde sutures 6 for shaping of the antihelix and Furnas sutures 7 for conchal setback. Cartilage shaving is performed when appropriate to decrease projection of the conchal bowl.

Excising skin and soft tissue

- A fusiform excision is marked based on the postauricular sulcus, preserving 1.5 cm of free auricle (Figure 8A)
- Inject the area with 1% lidocaine with 1:100,000 epinephrine
- Use a 15 blade to make the planned incision (Figure 8B), and sharply excise the skin and soft tissue off the posterior cartilaginous framework (Figure 8C)
- In patients with a deep conchal bowl, elliptical shave excision of cartilage is performed with a 15 blade until the ear is able to be rotated to the proper position (Figure 8D)

Antihelix Formation with Mustarde Sutures

- Undermine the skin posteriorly over the free edge of the auricle to expose the area for placement of the Mustarde sutures (Figure 9A)
- Apply pressure to the ear to determine the appropriate position of the antihelical fold. Mark this position with two 30-gauge needles (Figure 9B)
- To recreate the antihelical fold, place two to three non-absorbable horizontal mattress sutures through the posterior perichondrium, cartilage, and anterior perichondrium, avoiding the anterior skin and ligate them (Figures 9C, D)

Figures 8A-D: Excising skin and soft tissue
Conchal Setback

- Place three non-absorbable horizontal mattress sutures in a parallel fashion from the concha to the mastoid perios- teum. These sutures are passed through the posterior perichondrium, cartilage, and anterior perichondrium, but do not go through skin.
- The sutures are not secured until all sutures are in place.
- The first suture is placed from the con- cha cymba to the mastoid periosteum (Figure 10A)
- The second suture passes between the concha cavum and the mastoid perios- teum (Figure 10B)
- The superior suture is placed in the floor of the fossa triangularis, pulling the concha posteriorly and medially (Figures 10C, D)
- Close the incision with a running 4-0 black nylon suture, taking care not to disrupt the conchal setback sutures (Figure 10E)

Cartilage-Cutting Techniques 8,9 (Figure 11)

Multiple cartilage-cutting techniques have been described and may involve scoring, cutting, and abrasion of cartilage. The cartilage-cutting technique described by Farrior 8 is as follows:

- Place an incision immediately lateral to the site of the new antihelix
- Elevate the anterior skin
- Remove wedges of cartilage along the axis of the antihelix from the posterior aspect of the neo-antihelix
- Tube the antihelix anteriorly and place mattress sutures

Figures 9A-D: Antihelix Formation with Mustarde Sutures
Figures 10A-E: Conchal Setback

Treatment of Protuberant Lobule or Lobule Excess

- A protuberant lobule may be addressed by trimming the *cauda helicis*
- For lobule excess, the posterior auricular incision from the initial otoplasty is extended inferiorly and a small triangle of posterior skin is excised
- A small wedge of anterior skin is also excised
- The skin is closed with 6-0 nylon interrupted sutures
Figure 11: Cartilage cutting technique. A. At level of superior crus; B. At level of antihelix proper
Postoperative Care

- Apply Bacitracin ointment to the suture line, and dress the incision with non-stick gauze pads
- Wrap the head with an elastic bandage
- Discharge the patient home on 1 week of oral antibiotics and analgesia
- Instruct the patient to wear the bandage for the first 24 hours
- Thereafter the patient may shower and gently wash the hair
- A cotton headband is then placed; the headband is worn continuously until the post-operative appointment on Day 12
- During this visit, sutures are removed
- Instruct the patient to wear the headband at night for an additional 2 weeks

Non-Surgical Techniques: Ear Splinting and Moulding

Congenital auricular deformities, including prominent ears, are amenable to correction with splinting and moulding, especially when initiated within the first three days of life.\(^{10-12}\) A variety of materials have been successful\(^ {11}\) including:

- Splints made from 6-Fr or 8-Fr silicone tubing with a 24 gauge copper wire core, applied with Steri-Strips\(^ {10,12}\) (Figures 12A, B)

\[\text{Figures 12 A, B: A: Splint made from 6-Fr silicone feeding tube with 24 gauge copper wire core; B: Splint applied to the newborn ear with Steri-Strips}\]

- Commercially available moulding devices, such as The Earwell Infant Correction System}\(^ {\text{TM}}\) (Beacon Medical, Naperville IL) (Figures 12C-F)

\[\text{Figures 12 C-F: Nonsurgical techniques. Commercially available Earwell Infant Correction System}\(^ {\text{TM}}\) (Beacon Medical, Naperville IL)

The splint or mould remains in place 24 hours a day, and is replaced as necessary. The duration of splinting varies from center to center, most commonly ranging from 2-12 weeks. The ear is inspected weekly for skin irritation and breakdown. Fair-to-good results are reported in 70-100% of patients, with better results in younger patients.\(^ {11}\)

Complications

Complications of otoplasty may be divided into early complications, occurring hours to days after the procedure, and late complications, occurring weeks to years later.\(^ {5,13,14}\)
Early Complications

- **Haematoma**: Haematomas occur in up to 3.5% of cases. Meticulous haemostasis should be achieved at the close of the procedure to minimise the risk of haematoma formation. Haematomas typically present with increased or excessive asymmetric pain, bloodsoaked dressings, bruising, and/or swelling. Urgent evacuation of a haematoma (*Figures 13A-C*) is critical to prevent fibrosis and ultimately, permanent deformity of the auricle, known as “cauliflower ear” (*Figure 14*). Obtain careful haemostasis during haematoma evacuation, and place a drain and a pressure dressing. Discharge the patient on oral antibiotics and follow the patient closely until the haematoma has completely resolved.

*Figures 13 A-C: A. Postoperative auricular haematoma; note fullness and discolouration of the auricle; B. Following incision and drainage; C. Final result*
Figure 14: Cauliflower ear deformity following unevacuated haematoma

- **Infection:** Wound infection occurs in < 5% of otoplasties. As with haematomas, prompt identification and treatment are essential to avoid permanent deformity. Infections may present with pain, erythema, swelling, and drainage. Management includes drainage and irrigation of the wound, followed by treatment with oral anti-pseudomonas antibiotics. Patients with severe infection may require IV antibiotics.

**Late Complications**

- **Loss of Correction or Relapse of Auricular Deformity:** This occurs more commonly after cartilage-sparing techniques. There are multiple technical causes of inadequate correction, including pulling of sutures over time, improper placement of sutures, failure to correct deformity during surgery, failure to anchor sutures firmly on the mastoid periosteum, or failure to weaken noncompliant cartilage. Inadequate correction requires revision otoplasty.

- **Suture-Related Complications:** Non-absorbable sutures may extrude or cause foreign body reactions. Braided sutures cause more reactions as compared to monofilament sutures; however, many prefer braided sutures due to their handling properties. In cases of inflammatory reaction or extrusion, removal of the suture resolves the complication, though the final result may be compromised.

- **Hypertrophic Scarring and Keloid:** The postauricular area may develop hypertrophic scars or keloid (Figure 15), especially in patients with darker skins, younger patients, or patients with a history of hypertrophic scarring or keloid. In susceptible patients one should avoid unnecessary tissue trauma and ensure a tension-free closure. Treatment of hypertrophic scarring and keloids includes triamcinolone injections every 4 - 6 weeks for 6 months.

Figure 15: Postauricular keloid
• **Telephone and Reverse Telephone Ear Deformities:** Telephone ear deformity occurs with overcorrection in the middle third of the ear and relative undercorrection of the superior and inferior poles (Figures 16A, B). Reverse telephone ear deformity occurs when the middle third of the auricle remains prominent relative to the superior and inferior poles (Figure 16C). Both deformities are avoidable with correct placement of the conchal set-back sutures.

Figures 16 A-C: Normal anatomy (A), telephone ear deformity (B), and reverse telephone ear deformity (C)

• **Narrowing of External Auditory Canal:** This may be seen after conchal setback with improperly placed sutures. When placing Furnas conchal setback sutures, care must be taken to pull the concha superomedially to avoid canal narrowing.

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